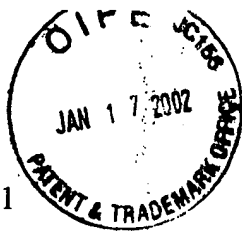


BEA920010010US1



2181#2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Brad A. Davis et al.

Serial No.: 09/930,857

For: Method of virtualizing I/O resources in a computer system

File Date: August 15, 2001

Group Art Unit: 2181

RECEIVED  
JAN 23 2002  
Technology Center 2100

Box DD  
Assistant Commissioner for Patents  
Washington, DC 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that the enclosed or attached correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box DD, Assistant Commissioner for Patents, Washington, D.C. 20231.

23 October 2001  
Date

Pryor A. Garnett, Reg. No. 32,136

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Enclosed herewith is a Information Disclosure Statement pursuant to 37 CFR. § 1.56 in connection with the above-identified application, which statement is being filed:

- ☐ Together with the present application.
- ☒ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. [37 CFR § 1.97(c)]
- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

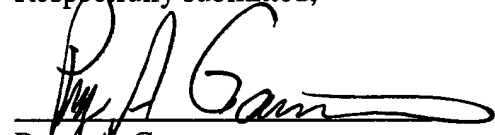
- ☒ No separate requirements are needed.
- ☒ No additional fee is required.

BEA920010010US1

**Provision of Copies of References**

- ☒ [X] Copies of all cited references are enclosed.
- ☐ [ ] A copy of the ABSTRACT of each of the references listed on the attached Form PTO-1449 is enclosed herewith and forms a part hereof.
- ☐ [ ] A partial translation of Reference \_\_\_\_ is enclosed herewith and forms a part hereof.
- ☐ [ ] A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.
- ☐ [ ] A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.
- ☒ [X] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 501336. A duplicate copy of this transmittal is attached.

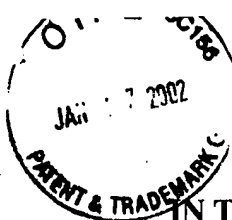
Respectfully submitted,

  
Pryor A. Garnett  
Registration No. 32,136

Date: 23 October 2001

IBM Corporation  
Intellectual Property Law Dept., EDO2-805  
15450 SW Koll Parkway  
Beaverton, OR 97006-6063

(503) 578-5020 voice  
(503) 578-5040 fax



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Brad A. Davis et al.

Serial No.: 09/930,857

Filed: August 15, 2001

Title: Method of virtualizing I/O resources  
in a computer system

Attorney Docket No.: BEA920010010US1

Group Art Unit: 2181

Examiner: Unassigned

**RECEIVED**  
JAN 23 2002  
Technology Center 2100

Box DD

Assistant Commissioner for Patents

Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted under 37 CFR 1.97(b) (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last).

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.


It is requested that the information disclosed herein be made of record in this application.

Date: 23 October 2001

Respectfully submitted,

IBM Corporation  
Intellectual Property Law Dept., EDO2-805  
15450 SW Koll Parkway  
Beaverton, OR 97006-6063

(503) 578-5020 voice  
(503) 578-5040 fax

  
\_\_\_\_\_  
Pryor A. Garnett  
Attorney for Applicant  
Registration No. 32,136